

jc903 U.S. PTO  
06/13/01

06-15-01

EXPRESS MAIL LABEL NO.: EL824097734US  
Case Docket No. 43701.00034

A/Reissel  
jc903 U.S. PTO  
06/13/01

BOX RE-ISSUE  
COMMISSIONER FOR PATENTS  
Washington, D C 20231

June 13, 2001

Sir:

Transmitted herewith for filing is the Re-issue patent application of:

Inventor(s): SUZUKI, et al.

For: SECURITY APPRATUS AND METHOD

Original Patent No.: 6,049,875 Granted April 11, 2000

Enclosed are.

25 Pages of Specification

17 Sheet(s) of drawings (X formal informal)

Re-issue Declaration and Power of Attorney. Will follow.

Patent is Currently assigned; enclosed are X Written Consent of Assignees & X Statement under 37 C.F.R. § 3.73(b)

A certified copy of Japanese Patent Appln. No. 8-051973 filed 3/8/96 & Japanese Patent Appln. No. 8-243879 filed 9/13/96, from which priority is claimed in the subject case pursuant to Rule 55b, and 35 U.S.C. 119, were filed in the original US Patent Application.

Information Disclosure Statement, Form PTO 1449, and  prior art reference(s).

Preliminary Amendment

General authorization/request to petition for extensions of time

Postcard

FOR:	NO. FILED In Patent	NO. Filed In Re-Issue	NO. of Extra Claims	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				355.00	\$355.00	OR	\$710	\$ 710
TOTAL CLAIMS	16	39 (-20) =	19	X 9	\$	OR	X 18	\$ 342
INDEP CLAIMS	5	9 (-3) =	6	X 40	\$	OR	X 80	\$ 480
MULTIPLE DEP CLAIMS				X+ 135	\$	OR	+ 270	\$
PRESENTED				TOTAL =	\$		TOTAL:	<b>\$ 1,532.00</b>

Original US Patent for Surrender;  Ribboned Original Patent  Statement of Loss

Check in the amount of \$ 1,532.00 to cover the filing fee is enclosed

Check for \$  covering the Assignment Recordation Fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

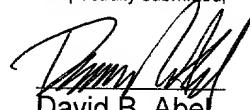
The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 07-1853. A duplicate copy of this sheet is enclosed.

Any patent application processing fees under 37 CFR 1.17.

The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

  
David B. Abel  
Registration No. 32,394  
Attorney for Applicant

Squire, Sanders & Dempsey L.L.P.  
801 S. Figueroa Street, 14<sup>th</sup> Fl.  
Los Angeles, CA 90017-5554  
Telephone: (213) 624-2500  
Facsimile: (213) 623-4581

**REISSUE APPLICATION: CONSENT OF ASSIGNEE;  
STATEMENT OF NON-ASSIGNMENT**

Docket Number (Optional)

43701.00023

This is part of the application for a reissue patent based on the original patent identified below.

## Name of Patentee(s)

SUZUKI et al.

## Patent Number

6,049,875

## Date Patent Issued

April 11, 2000

## Title of Invention

SECURITY APPARATUS AND METHOD

1.  Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)
2.  Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.

One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".

The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.

The assignee(s) owning an undivided interest in said original patent is/are KABUSHIKI KAISHA TOSHIBA and the assignee(s) consents to the accompanying application for reissue.

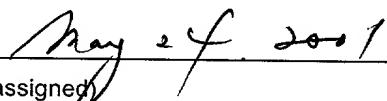
## Name of assignee/inventor (if not assigned)

KABUSHIKI KAISHA TOSHIBA

## Signature



## Date



Typed or printed name and title of person signing for assignee (if assigned)

Takashi NAKAYAMA

General Manager, Intellectual Property Division

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **SUZUKI, et al.**

For: **SECURITY APPRATUS AND METHOD**

JC903 U.S. PTO  
09/881249  
06/13/01

**CERTIFICATE OF MAILING**

BOX REISSUE APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

“EXPRESS MAIL” Mailing Label No.: EL824097734US

Date of Deposit: June 13, 2001

I hereby certify that an application for patent, including:

25 pages of Specification (which includes 39 claims and a one-page Abstract); a General Authorization/Request to Petition for Extensions of Time; a Re-issue Declaration and Power of Attorney; a Statement under 37 C.F.R. § 3.73(b), Written Consent of Assignee, a check in the amount of \$1,532.00 to cover the filing fee; a Transmittal Letter; the original Ribboned Patent for surrender; and Return Postcard are being deposited with the U.S. Postal Service “Express Mail Post Office to Addressee” service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: June 13, 2001



Richard E. Eichelberger

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: KABUSHIKI KAISHA TOSHIBAApplication No./Patent No.: 6,049,875 Filed/Issue Date: April 11, 2000Entitled: SECURITY APPARATUS AND METHOD, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8615 Frame 0866, or for which a copy thereof is attached. (7-18-97)

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

May 20, 2001

Date

Takashi NAKAYAMA

Typed or printed name

T. Nakayama

Signature

General Manager, Intellectual Property  
Title  
Div.